

HEWLETT-PACKARD COMPANY
Intellectual Property Administration
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Fort Collins, Colorado 80527-2400

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PATENT APPLICATION

ATTORNEY DOCKET NO. 100110474-2



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Robert J. Gallagher et al.

Confirmation No.: 9510

Application No.: 09/995,318

Examiner: Not Assigned

Filing Date: 11/26/01

Group Art Unit: Same

Title: INTELLIGENT APPARATUS, SYSTEM AND METHOD FOR FINANCIAL DATA
COMPUTATION AND ANALYSIS

Box MISSING PARTS
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

TRANSMITTAL LETTER FOR SUBMITTAL OF MISSING PARTS

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f) mailed on 12/14/01. Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

() Statutory basic filing fee () Utility \$740.00 () Design \$330.00

() Additional claim fees of \$

(X) Missing Parts Surcharge \$130.00

(X) A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

() one month \$110.00

(X) two months \$400.00

() three months \$920.00

() four months \$1440.00

Please charge to Deposit Account 08-2025 the sum of \$530.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

Robert J. Gallagher et al.

By

Grant E. Pollack, Esq.

Attorney/Agent for Applicant(s)
Reg. No. 34,097

Date of Deposit: 04/12/02

Typed Name: Grant E. Pollack

Signature:

Date: 04/12/02

Telephone No.: (212) 768-3800



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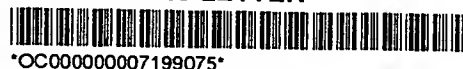
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,318	11/26/2001	Robert J. Gallagher	100110474

CONFIRMATION NO. 9510

FORMALITIES LETTER



OC000000007199075

HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P.O. Box 272400
Fort Collins, CO 80527-2400

Date Mailed: 12/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

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*A copy of this notice **MUST** be returned with the reply.*

Ted to A
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE